



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michal Hlavac et al.
Serial No.: 10/659,007
Filing Date: 09/09/2003
For: ARTIFICIAL INTELLIGENCE PLATFORM
Group Art Unit No.: 3715
Examiner: Maria Stoica
Attorney's Docket No.: INGEENI-3

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED
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March 6, 2007

(DATE OF DEPOSIT)

Margaret M. Slezak

(NAME OF ATTORNEY)



(SIGNATURE)

March 6, 2007

(DATE OF SIGNATURE)

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit documents and information which may be considered material to patentability of this application. These documents and information are listed on the accompanying forms.

Copies of documents 1-11, which are listed on the accompanying form, PTO/SB/08A, have not been submitted since submission of copies of U.S. patents and U.S. patent applications is no longer required under 37 CFR 1.98.

Copies of documents 12-15, which are listed on PTO/SB/08A and PTO/SB/08B, are enclosed herewith.

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of PTO/SB/08A (one page) and PTO/SB/08B (one page), submitted herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

03/12/2007 HLE333 00000022 10659007

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03/12/2007 HLE333 00000021 11659007

01 FC:1006 100.00 DP

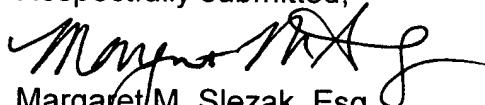
It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

A check in the amount of One Hundred Eighty Dollars (\$180.00) payable to the Commissioner of Patents and Trademarks also is enclosed herewith to cover the fee due in connection with this submission.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

Respectfully submitted,



Margaret M. Slezak, Esq.

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 2

Complete if Known

Application Number	10/659,007
Filing Date	09/09/2003
First Named Inventor	Michal Hlavac
Art Unit	3715
Examiner Name	Maria Stoica

Attorney Docket Number INGEENI-3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1	US- 6,141,019	10-31-2000	Roseborough et al.		
2	US- 6,267,672	07-31-2001	Vance		
3	US- 6,331,861	12-18-2001	Gever et al.		
4	US- 6,404,438	06-11-2002	Hatlelid et al.		
5	US- 6,466,213	10-15-2002	Bickmore et al.		
6	US- 6,956,575	10-18-2005	Nakazawa et al.		
7	US- 6,968,315	11-22-2005	Nakisa		
8	US- 2003/0028498	02-06-2003	Hayes-Roth		
9	US- 2003/0193504	10-16-2003	Cook et al.		
10	US- 2004/0103148	05-27-2004	Aldrich		
11	US- 2006/0026048	02-02-2006	Kolawa et al.		
	US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
12		EP 0 992 927	04-12-2002	Konami Co., Ltd.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/659,007
				Filing Date	09/09/2003
				First Named Inventor	Michal Hlavac
				Art Unit	3715
				Examiner Name	Maria Stoica
Sheet	2	of	2	Attorney Docket Number	INGEENI-3

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	13	YOON et al. "Motivation Driven Learning For Interactive Synthetic Characters" Proc. Of 4th Int. Conf. on Autonomous Agents, June 3, 2000, pages 365-372, Barcelona, Spain.			
	14	VELASQUEZ, "A Computational Framework for Emotion-Based Control", Proceedings of SAB Workshop on Grounding Emotions in Adaptive Systems, August 21, 1998, Zurich, Switzerland.			
	15	KLINE et al., "The Art and Science of Synthetic Character Design", Proceedings of Symposium on AI and Creativity in Entertainment and Visual Art (AISB), April 8, 1999, Edinburgh, Scotland.			

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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